

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD28056-5

In Re Application Of: **Brown et al.**

Serial No. to be assigned	Filing Date herewith	Examiner to be assigned	Group Art Unit to be assigned
Title: TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD			

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
- OR**
- the fee set forth in 37 CFR 1.17(p).

3. [X] A list of documents on form PTO-1449 previously submitted. Each of the identified documents was cited by or submitted to the USPTO in the pending prior application Serial No. 09/941,050 filed on 08/28/2001 entitled **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD** by the following inventor(s): Sterling Bruce Brown, Hans Peter Brack, James Anthony Cella and Dennis Karlik, which is relied upon for in a earlier filing date under 35 U.S.C. 120. Please consider these documents in the prosecution of this case.

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of _____
- Credit any overpayment.
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I certify that this document and fee is being deposited September 26, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Elisabeth A. Thoresen

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Elizabeth A. Thoresen

Typed or Printed Name of Person Mailing Certificate

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Frank A. Smith
Signature

Dated: September 26, 2003

Frank A. Smith - Registration No. 39,375

General Electric Company

One Plastics Ave.

Pittsfield, MA 01201

phone: (413) 448-7604

Customer No. 25743

CC:

PTO-1449

Attorney's Docket Number
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To be assignedINFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMS

(Use several sheets if necessary)

Name of Applicant
Brown et al.Filing Date
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To be assigned

U.S. PATENT DOCUMENTS

* Examiner Initials	Document Number									Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	9	2	7	8	9	4		05/22/90	Brown			
	AB	5	0	3	4	5	2	7		07/23/91	Brown et al.			
	AC	5	1	1	5	0	4	3		05/19/92	Yates, III et al.			
	AD	5	1	3	2	3	7	3		07/21/92	Khouri et al.			
	AE	5	2	1	0	1	9	1		05/11/93	Phanstiel et al.			
	AF	5	2	6	4	4	9	6		11/23/93	Brown et al.			
	AG	5	3	2	4	7	9	6		06/28/94	Han			
	AH	3	9	5	7	7	2	8		05/18/76	Neuray et al.			
	AI	3	9	7	8	1	5	9		08/31/76	Neuray et al.			
	AJ	4	0	9	2	2	4	3		05/30/78	Neuray et al.			

FOREIGN PATENT DOCUMENTS

	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
AK	EP0703261B1 (abstract)			03/27/96	European			
AL	EP0794209A2			09/10/97	European			
AM	EP0980861A1			02/23/00	European			
AN								
AO								
AP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

AQ	White and Loucks in ACS Symposium Series, volume 282 (Reactive Oligomers), pp. 187-197 (1985)
AR	Kirk-Othmer Encyclopedia of Chemical Technology, Fourth Edition, Volume 19, pp. 584-600
AS	Relationship between the end-cap structure of polycarbonates and their impact resistance, Mayasa Okamoto, Polymer Research Laboratory, Idemitsu Petrochemical Co., Ltd., Received 9 January 2001; accepted 18 April 2001

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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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	AA	5	6	9	6	2	2	2		12/09/97	Kaneko et al.			
	AB	5	3	5	2	7	4	5		10/04/94	Katayose et al.			
	AC	3	3	0	6	8	7	4		02/28/67	Hay			
	AD	3	3	0	6	8	7	5		02/28/67	Hay			
	AE	3	9	1	4	2	6	6		10/21/75	Hay			
	AF	4	0	2	8	3	4	1		06/07/77	Hay			
	AG	4	0	5	4	5	5	3		10/18/77	Olander			
	AH	4	0	9	2	2	9	4		05/30/78	Bennett, J. et al.			
	AI	4	4	7	7	6	5	1		10/16/84	White et al.			

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	AA	4	5	1	7	3	4	1	05/14/85	White				
	AB	4	2	1	7	4	3	8	08/12/80	Brunelle et al.				
	AC	5	8	8	0	2	4	8	03/09/99	Sakashita et al.				
	AD	5	2	2	9	5	1	3	07/20/93	Brown et al.				
	AE	4	8	9	5	9	4	5	01/23/90	Brown et al.				
	AF	5	0	0	1	2	0	1	03/19/91	Brown				
	AG	5	3	5	7	0	2	7	10/18/94	Komatsu				
	AH	6	1	4	0	4	5	7	10/31/00	LeGrand et al.				
	AI	6	1	4	0	4	5	7	10/31/00	LeGrand et al.				
	AJ	5	2	4	2	9	7	3	09/07/93	Komatsu				

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	AA	5	2	7	1	9	6	8	12/21/93	Coyle et al.			
	AB	4	9	9	7	8	8	5	03/05/91	Brown			
	AC	5	0	9	6	9	7	9	03/17/92	Brown et al.			
	AD	5	1	9	4	5	1	7	03/16/93	Blubaugh et al.			
	AE	5	0	4	1	5	0	4	08/20/91	Brown et al.			
	AF	5	1	0	0	9	6	1	03/31/92	Brown et al.			
	AG	5	0	6	8	2	8	6	11/26/91	Campbell et al.			
	AH	5	0	8	9	5	6	6	02/18/92	Brown et al.			
	AI	5	1	5	3	2	6	7	10/06/92	Brown et al.			
	AJ	5	5	3	9	0	6	2	07/23/96	Brown et al.			

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	AB	5	3	8	5	9	8	4	01/31/95	Blohm et al.			
	AC	5	0	3	0	6	9	3	07/09/91	Brown et al.			
	AD	5	0	6	2	8	8	2	11/05/91	Newton			
	AE												
	AF												
	AG												
	AH												
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	AJ												

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